I am an experienced mediator and arbitrator of international business disputes, including complex commercial ones, in a variety of subject and geographic areas. I've rendered dozens of awards in London and New York and mediated many cases, often to settlement, in New York and by video. I've been sole, chair, wing and emergency arbitrator in different arbitrations for LCIA, CPR (administered and non-administered), ICDR, and AAA.

I was Deputy General Counsel of BGC Partners, Inc., a NASDAQ listed company. Also headed dispute resolution employment and certain other areas mentioned below globally for its affiliate Cantor Fitzgerald. Both are global financial services companies. They are both involved in international trade and finance. In 2007 their Chairman recruited me as Deputy General Counsel (after 15 years as one of its principal outside counsel).

My in-house responsibilities were broad based, geographically and in areas of law. From 2008-12 about 120 days of each year I was in London. I also spent time in Brazil and Hong Kong in connection with legal affairs. I managed litigation, arbitration, mediation, employment and other matters in the U.K., France, Brazil, Hong Kong, Philippines, Singapore, Australia, Canada, Japan and several other countries. In some matters the opposing party was private, in others governmental. In-house litigators, employment and patent lawyers reported to me. I had broad based engagement with contract, deal, tax, securities, compliance, general IP and other lawyers. I led the lawyering for an acquisition in Brazil and negotiated and reviewed many contracts with suppliers and customers globally.

I was a general commercial litigator for over 30 years. My representation included two countries and also opposed governments and related entities. While at law firms I participated (usually as lead) in about 50 mediations and about 20 arbitrations (to award). In court I litigated about 15 cases to judgment (including two pharma patents) and argued about 30 appeals, many with reported decisions. Intellectual property of most types, contract, securities, business and other torts, compliance, regulatory and statutory matters, workouts, bankruptcy and creditors' rights, real estate and employment fell within cases handled.

I am a Fellow of the Chartered Institute of Arbitrators, was Secretary of the NY Branch, have its Diploma in International Arbitration and have acted as a Assessor. Am also a <u>Center for Efficient Dispute Resolution</u> <u>Accredited Mediator</u>. The <u>American Arbitration Association</u>, the <u>International Institute for Conflict Prevention and</u> <u>Resolution</u>, the World Intellectual Property Organization, the <u>Court of Arbitration for Art</u>, and <u>American</u> <u>Intellectual Property Law Association</u> list me both on arbitration and mediation panels in several areas, including general commercial, finance related, intellectual property and employment. I am on the Panel of the Business Arbitration Scheme of the Dispute Appointment Service of the Chartered Institute. I have rendered awards for the ICDR and Hunt ADR. I am on the list of candidates suitable for appointment as Chairperson for Arbitrations published by the European Union for use in trade disputes. I am a member of the Mediation Panels of both <u>SDNY</u> and <u>DNJ</u>, as well as <u>Commercial Division</u>, <u>Supreme Court</u>, <u>NY County</u>, and am on the <u>Register of Mediators</u> of the UK Employment Lawyers Association. I have successfully mediated many cases for SDNY and independently.

I served on the Arbitration Committees of the NYC Bar and serve on several CPR committees, the <u>City Bar's</u> <u>President's Committee on Efficient Resolution of Disputes</u> and completed a term on the City Bar ADR (mediation) committee where I am again a member. Also belong to CPR's Council and have its Certificate in Cybersecurity in ADR. I am a member of the NY International Arbitration Center, <u>NY International Arbitration Club</u> (and its web curator) and <u>Silicon Valley Arbitration and Mediation Center</u>. Juris' Arbitration Law Roster of International Arbitrators lists me. Autumn semesters I teach at <u>Hofstra Law</u> and have taught at many CLE programs including <u>ADR for IP</u>. I have written several articles and spoken at many programs (see below).

## WORK EXPERIENCE

Arbitrator and Mediator	July, 2015-present
BGC Partners/ Cantor Fitzgerald/ (New York, NY) Deputy General Counsel/ Chief Litigation Counsel	Jan. 2008 – July 2015
Saul Ewing LLP (Princeton, NJ) Partner and for 4 years Vice Chair of Litigation	Jan. 1996 – Jan. 2008
McManimon & Scotland and predecessors (Newark, NJ) Partner (Associate first year there), Litigation	Jan. 1987 – Jan. 1996
Paul, Weiss, Rifkind, Wharton, & Garrison (New York, NY) Associate	Sep. 1976 – Jan. 1987
EDUCATION	

Harvard University Law School (Cambridge, MA)Juris Doctor - 1976Finalist as oral advocate, Ames Moot Court CompetitionJuris Doctor - 1976

University at Albany, State University of New York (Albany, NY) President of the Student Association from 1971-1973, related posts, <u>many post-grad university related roles</u>

## BAR ADMISSIONS

- New York 1977
- Washington DC 1977 (Inactive)

- New Jersey 1987
- Multiple Federal Courts

## SAMPLE PUBLICATIONS

- <u>The Singapore Convention on Mediated Settlements and New York's CPLR: What Do—or Should—They</u> <u>Have in Common?</u>, NYSBA New York Dispute Resolution Lawyer, March, 2022, Vol. 15, No. 1, p. 37
- <u>Is It Time to Modernize Witness Statements in Arbitration?</u>, Alternatives to the High Cost of Litigation (CPR), July/August, 2021
- <u>Remedying a Slip in an Award, Practical Law Arbitration Blog</u>, 18 May, 2021
- What Mediators Want, ACC Docket, May, 2021
- What Arbitrators Want, ACC Docket, March, 2021
- <u>The Role of Personality in Choosing Outside Counsel</u>, Association of Corporate Counsel Docket, (Spring, 2020)
- <u>Arbitrators in 3-D: Death, Disability and Disqualification</u>, NY Dispute Resolution Lawyer Fall, 2019 (December 2019) (also related: talk, <u>Harvard International Arbitration Law Student Association</u>, October, 2019; with Peter Pettibone, <u>The Death, Disability or Disqualification of an Arbitrator</u>, Commercial Arbitration (КОММЕРЧЕСКИЙ АРБИТРАЖ) July-December 2020)).
- <u>The Unintended Consequences of Presuming that Counsel's Intentional Omissions Were</u> <u>Unintentional</u>, CPR Alternatives to the High Cost of Litigation, October 2018; <u>AAA Dispute Resolution</u> <u>Journal</u>, Vol. 73, No.4
- <u>Making Arbitration More Appealing</u>, Association of Corporate Counsel Docket, May, 2017, & NYS

Bar Dispute Resolution Lawyer, October, 2017 (also HIALSA program on this, October, 2017)

- Chapter 29A of "Special Issues in Settlements Under New Jersey Law," in "<u>Settlement Agreements in</u> <u>Commercial Disputes</u>" (R. Rosen, ed.) Aspen Law Business (2002)
- <u>"When the Deal Goes South"</u>, ALI-ABA CLE Programs (2005) ADR in IP Licenses
- "The Internet and Personal Jurisdiction" 198 N.J. Lawyer 47 (1999) (cited by NJ Supreme Court in Blakey v. Continental Airlines, 164 N.J. 38 n.2, 751 A. 2d 538 (2000))
- Many other articles in legal newspapers and magazines on Removal, Federal Practice (esp. in early 1990s as Chair of NJ Bar Federal Practice Section) and Law of Inside Counsel.

## OTHER PROFESSIONAL EXPERIENCE

Hybrid Mediations and Arbitrations, NY State Bar Association, 2023 Annual Meeting, Dispute Resolution Section, January 18, 2023

Tough Calls and Recovery Issues in Arbitration, ADR Committee of the Commercial & Federal Litigation Section of the New York State Bar Association (panelist) 6 December 2022

Chartered Institute of Arbitrators (CIArb) Mediation Symposium 2022. Panelist at its (virtual) Miami Hub (registration required), 6 October 2022.

Mock Hearing-International Senior Executive Restrictive Covenant and Confidential Information Litigation, a panel of the Risk, Reward and Reputation Management Issues for Senior Executives & Founders program sponsored by the International Forum of Senior Executive Advisors in London on June 14, 2022

Co-moderator, Members Forum – Thorny and Topical Issues of Arbitration Law or Practice, CIArbNY, May 26, 2022

Assessor (Faculty), CIArb Accelerated Route to Fellowship, August 19-20, 2021; December 8-9, 2023

SVAMC Corporate Counsel ADR Clinic Webinar No. 3 | Internal and External Disagreements: Is Resolving Them Really Different?

March 24, 2021 (video link to program Mike moderated)

Best Practices for Negotiating, Mediating and Settling Employment Related Litigations – Fordham Law School Bridge the Gap January 15, 2021

Arbitrators in 3-D: Death, Disability and Disqualification October 25, 2019 – Harvard Law School

Jurisdiction and Applicable Law in Employment, (ELA) London, 9 October 2018 (my paper here)

Panelist, Two Separate Programs on Employment Covenants, London Autumn, 2018

ABA Dispute Resolution Section Annual Conference. Panelist, "Getting What You Asked For: Managing a Fast and Efficient Arbitration" April, 2016

Judge, Vis Moot, and Fordham Law, ICC, LCIA pre-moots; ABA Advocacy in Mediation 2015-present

Special Professor, Hofstra Law School, Fall Semester (Law and Role of In-house Counsel) 2015-present

Lecturer, ALI – ABA Continuing Legal Education, <u>Intellectual Property Licensing Today</u> and related <u>Cross Border</u> <u>Program</u>. Spoke and wrote on ADR, then Antitrust, in IP Licensing 2005-2008

Association of Corporate Counsel – America, The Law of Inside Counsel several times a year 1997-2002